

Docket No.: 49921-036

**UTILITY PATENT APPLICATION
UNDER 37 CFR 1.53(b)**

Box PATENT APPLICATION
Commissioner for Patents
Washington, DC 20231
Sir:

1054 U.S. PTO
08/23/01
934475
08/23/01

Transmitted herewith for filing is the patent application of:

INVENTOR: Sang Seol KIM, Joon Hyung RYOO, Won Il KANG, Young Jong PARK,
Eun Ja KIM, Suk Ong KWON, Jae Kyung LEE, Kyoung Jae JI, Tae Sik BANK,
Joo Young HAN

FOR: TOY HAVING SPEECH RECOGNITION FUNCTION AND TWO-WAY
CONVERSATION FOR DIALOGUE PARTNER

Enclosed are:

- ☒ 35 pages of specification, claims, abstract.
- ☒ Declaration and Power of Attorney.
- ☒ Priority Claimed.
- ☐ Certified copy of _____
- ☒ 5 sheets of formal drawing.
- ☒ An assignment of the invention to KOREA AXIS CO., LTD.
and the assignment recordation fee.
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☒ Information Disclosure Statement, Form PTO-1449 and reference.
- ☒ Return Receipt Postcard
- ☒ **APPLICANTS ARE ENTITLED TO SMALL ENTITY STATUS**
- ☒ Preliminary Amendment

The filing fee has been calculated as shown below:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	9	-20	0	\$18.00	\$0.00
Independent Claims	1	-3	0	\$80.00	\$0.00
Multiple Dependent Claim(s)					\$0.00
Basic Fee					\$710.00
Total of Above Calculations					\$710.00
Less ½ for Small Entity					\$355.00
Assignment & Recording Fee					\$40.00
Total Fee					\$395.00



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PATENT TRADEMARK OFFICE

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- ☒ Please charge my Deposit Account No. 500417 in the amount of \$395.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500417. A duplicate copy is enclosed.
 - ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.
 - ☒ Any patent application processing fees under 37 CFR 1.17.
 - ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

MCDERMOTT, WILL & EMERY


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